CONFIDENTIAL

Seabury Asset Management Professionals

Anthony Hammes CHIEF COMPLIANCE & COMMUNICATIONS OFFICER



Anthony Hammes USA M: +1 312 401-0856 O: +1 312 601-2223 E: AHammes@Mepco.com

PROFESSIONAL QUALIFICATIONS

Anthony Hammes is the Chief Compliance & Communications Officer of Seabury Asset Management I (SING For Service, LLC d/b/a Mepco).

Mr. Hammes began his career in January of 2005 at Mepco Insurance Premium Financing, Inc. following the firm's acquisition by Independent Bank Corporation (NASDAQ: IBCP). As Risk Management and Compliance Officer, in 2007 Mr. Hammes co-led the firm's transition from insurance premium financing to the industry leading payment plan provider of service contracts. In 2009 Mr. Hammes was made Assistant Vice President of Compliance and directed the firm's legal and regulatory efforts. Mr. Hammes was next appointed Vice President of Compliance & Communications in 2014. In 2023 Mr. Hammes was named Chief Compliance & Communications Officer where he remains responsible for business and relationship development, reputation and crisis management, acts as liaison and coordinator for auditors, regulators, and attorneys, and leads the Compliance and Communications divisions.

Mr. Hammes is a 2004 high honors graduate from the University of Iowa where he majored in Business Management and Organizational Communication. Mr. Hammes is a founding member of global mental health awareness and suicide prevention non-profit, NoStigmas, and currently serves as Vice President of the Board of Directors. An avid supporter of human rights causes, Mr. Hammes annually hosts fundraisers that support the Illinois Coalition Against Domestic Violence and has lent his image to the I AM EQUAL PROJECT and the NOH8 Campaign.

Founded in 1964, Mepco specializes in providing payment plans for service contracts to customers, allowing them to pay over time. In 2017, it became a wholly owned subsidiary of Seabury. Mepco has a long, successful history as an originator and servicer of high-yielding, short duration receivables.

PROJECT EXPERIENCE

- Chief Compliance & Communications Officer for Seabury's operations in Chicago Illinois, and oversees staff in the Compliance, Client Services, Customer Care, and Collections divisions.
- Responsible for business and relationship development, reputation and crisis management. Acts a liaison and coordinator for internal and external auditors, regulators, and attorneys and manages all media inquiries.
- Restructured the Communications division merging two vertical business units and streamlining processes that resulted in a 41% department savings and reinforced clear and cohesive external communications.
- Directs firm's efforts in managing requirements related to Payment Card Industries ("PCI"), Sarbanes-Oxley Act ("SOX"), Gramm-Leach-Bailey Act ("GLBA"), Fair and Accurate Transactions Act, Fair Credit Reporting, and the right to Financial Privacy Act.
- Serves as Governance Officer, Business Continuity Plan Coordinator, and Corporate Librarian with experience in enterprise risk management, information security, business architecture technology, and change management.
- Co-led the firm's transition from insurance premium financing to the industry leader in payment plan servicing by managing all state legal and regulatory requirements.
- Responsible for the recruitment, professional development, and management of Communications staff and oversees all internal and external communications.
- Since Seabury Asset Management's acquisition of Mepco Finance Corporation in 2017, the service contract portfolio has increased to more than 710 thousand active contracts totaling \$1.3 billion.

INDUSTRY EXPERTISE

- Business To Business Development
- Relationship and Crisis Management
- Financial Services and Fiscal Risk Reporting
- Legal and Regulatory Governance
- Audit and Business Continuity Leader
- VPA Committee Member

FUNCTIONAL EXPERTISE

- Internal / External Communications
- Staff Leadership and Development
- Operational Process Execution
- Legal and Media Management
- Change Mgmt and Risk Reporting
- E-Commerce Development

